## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Takamasa Iwaki et al.

Serial No.: 10/539,870

Filed: December 5, 2005

For : Floor Mat and Small Animal Rearing Cage

Examiner: Son T. Nguyen

Art Unit: 3643

## INFORMATION DISCLOSURE STATEMENT

[ ]1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]

- [ ] 2 Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]
- [ ] 3 Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]
- [ ] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
  [before issue fee payment]

Hon. Commissioner for Patents Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

[XX] 5 A list of documents on form PTO-1SB/08 and SB/08b together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- [] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- [x] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
  - [ ] 8 The required certification made in item 11 below; or
  - [x] 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below
- [x] 10 37 CFR§ 1.97(d): [before issue fee payment]; and
  - (a) This is a petition for consideration of the subject Information

Disclosure Statement. The petition fee (\$180.00) required by 37 CFR 1.17(j(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch", Date of Allowance", and

(b) The required Certification is stated in item 11 below.

## [ ] 11 Certification

- [] 12Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [ ] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[xx] 14 If any additional fees are due, please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 50-2828. An original and one (1) copy of this document is enclosed.

Respectfully Submitted, Takamasa Iwaki et al

> Jack Schwartz Registration No.:

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Bv:

Jack Schwartz & Associates, PLLC 245 Fifth Avenue, Suite 1902 New York, NY 10016 May 1, 2009